

| | | | |
|------------------------------------|--------------------------------|--|---|
| Item No. To be completed | Classification: Open | Date: To be completed | Meeting Name: Healthy Communities Scrutiny Sub-Committee |
| Report title: | | Personalisation: The number and proportion of people receiving cash Direct Payment and Direct Payments via a third party. Briefing Note | |
| Ward(s) or groups affected: | | All wards | |
| From: | | Jay Stickland Director of Adult Social Care | |

RECOMMENDATION(S)

1. That the information in this report is noted.

BACKGROUND INFORMATION

2. At the October 2014 meeting of the Health Communities Scrutiny Committee Members received a report on “Personalisation in adult social care – an overview”.
3. That report provided details of the ways in which people with adult social care needs and their carers may be supported to have maximum independence and choice by receiving payments to arrange services to meet their assessed needs. These payments are called Direct Payments, and they may be made direct to the person; to an authorised family member or friend; or to a 3rd party organisation designated as a Management Account Provider.
4. A question raised at the October 2014 meeting sought further information on the numbers of people who receive Direct Payments through these options. This report provides this supplementary information.

KEY ISSUES FOR CONSIDERATION

5. Appendix 1 provides the most recent available statistical data (end of Qtr 3) on the numbers and proportions of:
 - Clients using Direct Payments for the first time during the first three quarters of 2014-15
 - All clients using Direct Payments during the period

In summary, the data shows that:

- 5.1 Since April 2014, there have been an average of 16 people starting Direct Payments per month – a total of 96 people.
- 5.2 The proportions of new DPs which are self-managed, managed by a 3rd party and managed through a MAP varies from month to month, reflecting users' choice.

- 5.3 Of the 96 new starters:
- 17% self-managed their Direct Payment
 - 31% had their Direct Payment paid to a 3rd party
 - 52% had their Direct Payment paid to a Managed Account Provider
- 5.4 The total number of clients using Direct Payments has increased from 877 in April 2014 to 991 at the end of September 2014.
- 5.5 Of the 991 clients receiving Direct Payments at the end of September 2014
- 47% self-managed their Direct Payment
 - 35% had their Direct Payment paid to a 3rd party
 - 18% had their Direct Payment paid to a Managed Account Provider
- 5.6 Southwark Council actively promotes the use of Direct Payments as a key way in which adults with social care needs and their carers can have maximum control and choice over the services that they need. Direct Payments support the Council's Personalisation agenda and the development of a pluralistic market support and care services, as described in the paper considered by the October 2014 meeting of the Healthy Communities Scrutiny Sub-Committee.
- 5.7 The national Adult Social Care Outcomes Framework measures the proportion of all Adult Social Care clients who receive Direct Payments. In 2013-14, the proportions of clients who received Direct Payments to arrange all or part of their support services were:

| | |
|---|-------|
| Southwark: | 29.0% |
| England average: | 19.1% |
| London average: | 22.1% |
| Average of councils statistically similar to Southwark: | 24.6% |

Southwark's out-turn was better than the averages for England, London and for statistically similar councils.

BACKGROUND DOCUMENTS

| Background Papers | Held At | Contact |
|---|---------|---------------|
| Personalisation in adult social care – an overview http://moderngov.southwark.gov.uk/documents/s49184/Personalisation%20report.pdf | | Becki Hemming |

APPENDICES

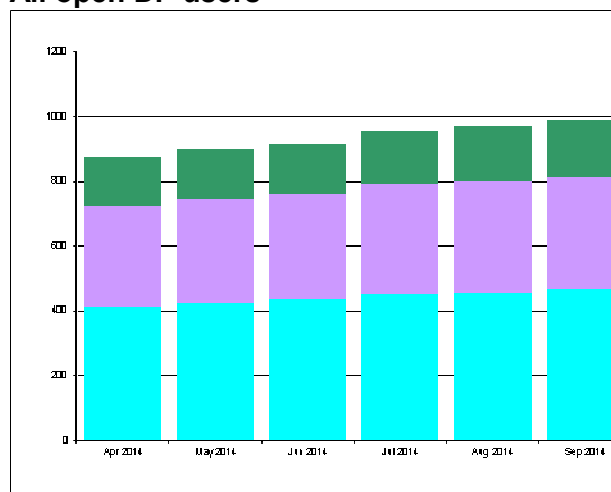
| No. | Title |
|------------|--|
| Appendix 1 | Profile of payment arrangements for people receiving Direct Payments |

Profile of payment arrangements for people using Direct Payments

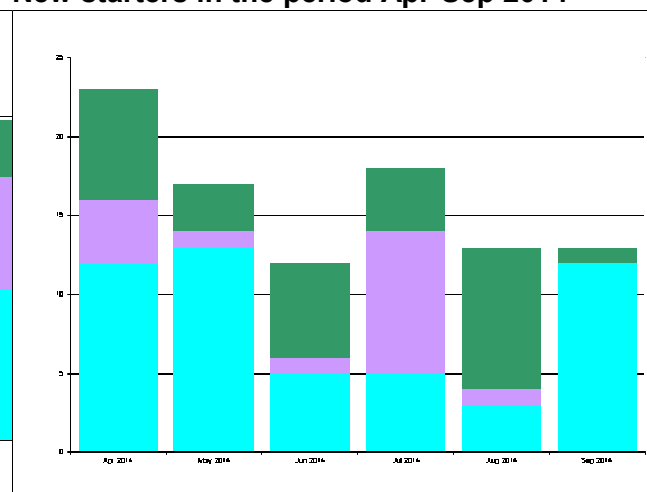
| Month | MAP | | Self managed | | 3rd Party | | Total new DPs | |
|------------------------------------|--------------|----------------|--------------|----------------|--------------|----------------|---------------|----------------|
| | New starters | All open cases | New starters | All open cases | New starters | All open cases | New starters | All open cases |
| Apr 2014 | 12 | 412 | 4 | 315 | 7 | 150 | 23 | 877 |
| May 2014 | 13 | 426 | 1 | 320 | 3 | 153 | 17 | 899 |
| Jun 2014 | 5 | 435 | 1 | 325 | 6 | 156 | 12 | 916 |
| Jul 2014 | 5 | 454 | 9 | 337 | 4 | 164 | 18 | 955 |
| Aug 2014 | 3 | 457 | 1 | 342 | 9 | 173 | 13 | 972 |
| Sep 2014 | 12 | 468 | 0 | 345 | 1 | 178 | 13 | 991 |
| Total new starters Apr-Sep 2014 | 50 | | 16 | | 30 | | 96 | |

Graphs: Payment arrangements by month and by payment arrangement

All open DP users



New starters in the period Apr-Sep 2014



Pie-charts: Over the period April 2014 – September 2014, the proportions of DPs that are self-managed, paid to 3rd parties and managed via a MAP

All open DP users

New starters in the period Apr-Sep 2014

AUDIT TRAIL

| | | |
|--|---|--------------------------|
| Cabinet Member | Councillor Dora Dixon-Fyle, Adult Care, Arts and Culture | |
| Lead Officer | Jay Stickland, Director of Adult Social Care, Children's and Adult's Services | |
| Report Author | Jessica Slater, Interim Head of Adults Performance, Children's and Adult's Services | |
| Version | Final | |
| Dated | 13 January 2015 | |
| Key Decision? | No | |
| CONSULTATION WITH OTHER OFFICERS / DIRECTORATES / CABINET MEMBER | | |
| Officer Title | Comments Sought | Comments Included |
| Director of Legal Services | No | No |
| Strategic Director of Finance and Corporate Services | No | No |
| Cabinet Member | No | No |
| Date final report sent to Constitutional Team/Community Council/Scrutiny Team | | |